

# Long-term air pollution exposure and incident dementia in American elderly population



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EMORY

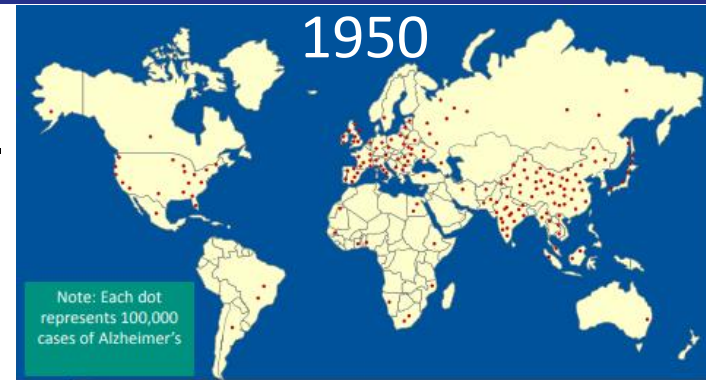
ROLLINS  
SCHOOL OF  
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# Dementia as a public health priority

- ❑ Dementia is a major public health challenge with substantial economic and social burden. The most common type of dementia is Alzheimer's disease.

**50** million now | **152** million by 2050

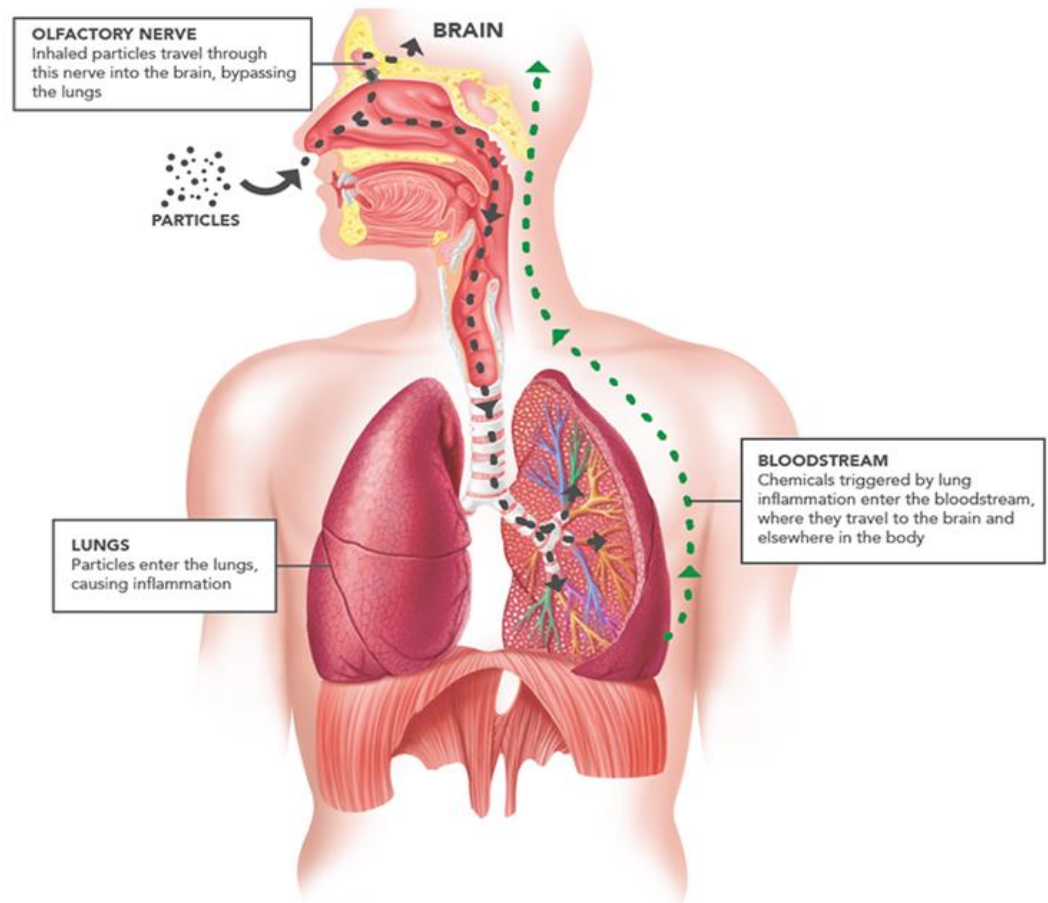
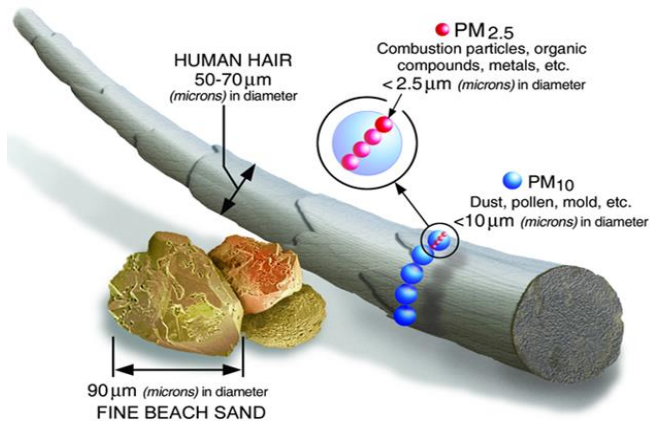
- ❑ The National Alzheimer's Project (NAPA) was signed in law, and the National Plan to address Alzheimer's disease and related dementias (ADRD) was launched.
- ❑ Efforts to identify and intervene on modifiable factors that prevent or delay the onset of dementia are critical to combating the dementia epidemic ([national priority](#)).



# Air pollution might be an important risk factor

Aging and genetic risk factors do not fully explain the cause of ADRD and PD  
Growing evidence indicates that **AIR POLLUTION** could be implicated in the risk, development and progression of brain disease.

Air pollution is highly prevalent and modifiable by public policy with benefits at the population level.



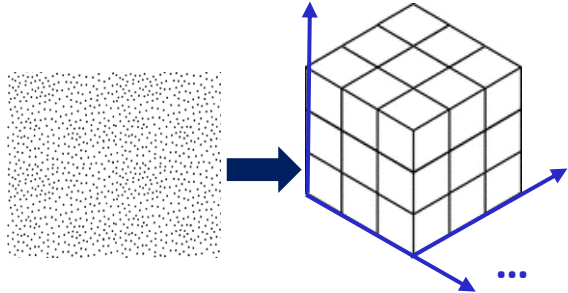
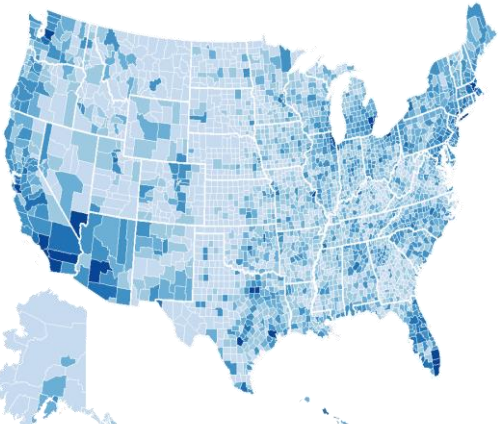
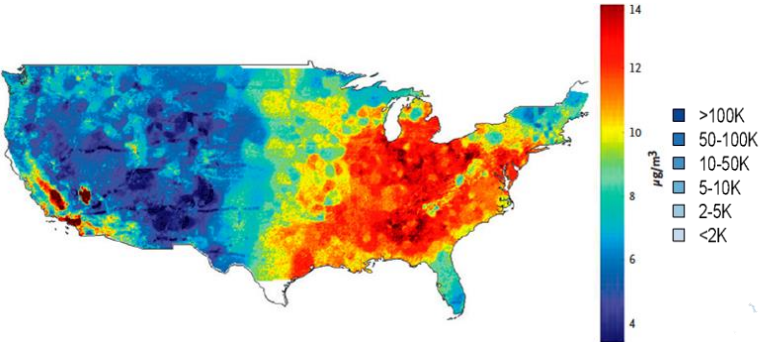
# A nationwide study

**Objective:** To investigate the association between long-term exposure to air pollution and incident dementia in American elderly population

## EXPOSURE

## HEALTH

## METHODS



High resolution (daily, 1km) ground-level PM<sub>2.5</sub>, NO<sub>2</sub>, and ozone from ensemble-learning

Individual-level Medicare health records from 2000-2018

Statistical methods (survival analysis) to look at chronic effects of air pollution

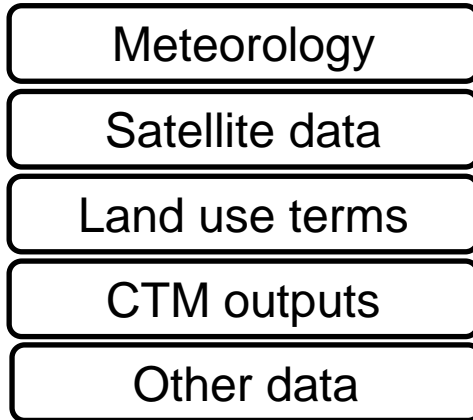


# Air Pollution exposure assessment (PM<sub>2.5</sub>, NO<sub>2</sub>, O<sub>3</sub>)

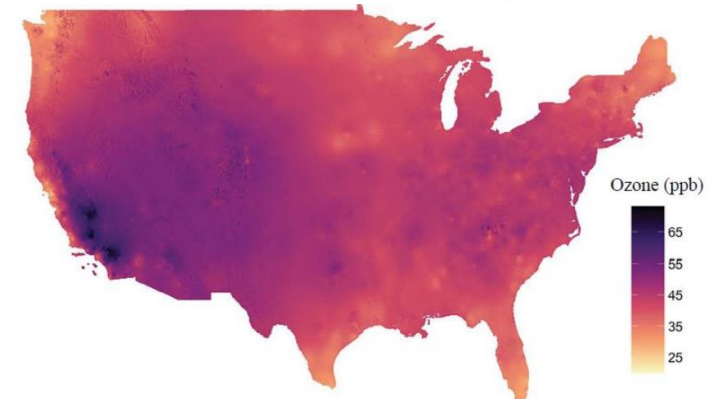
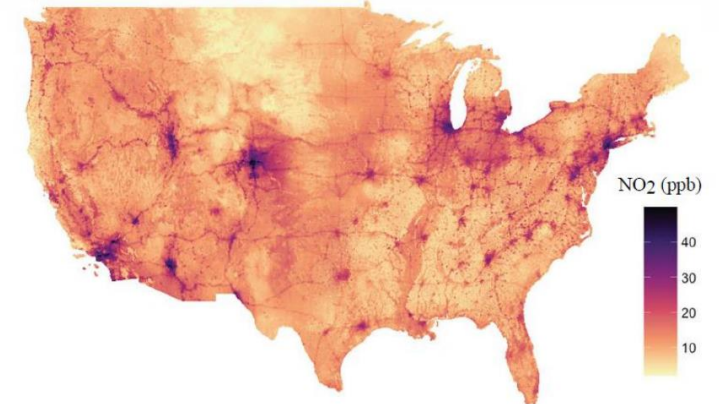
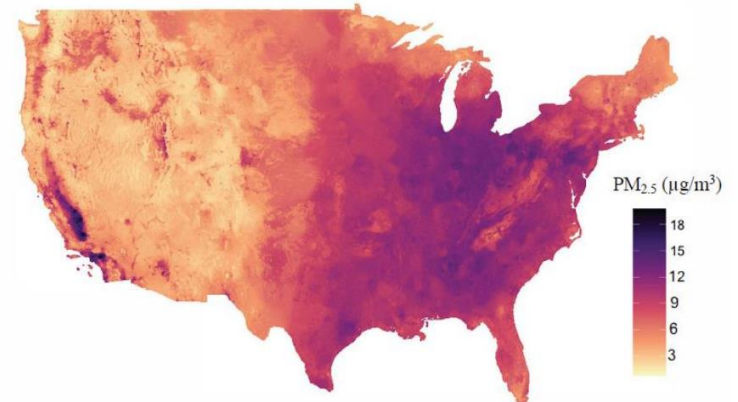
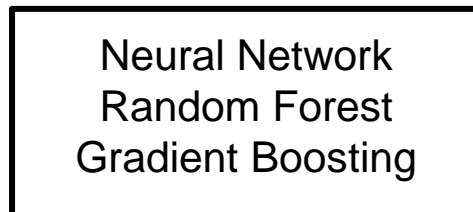
Annual mean PM<sub>2.5</sub> and NO<sub>2</sub> (2000-2016),  
warm-season mean O<sub>3</sub> (2000-2016, May-Oct)

**5-year moving averages**

## ***Monitoring Data***



## ***Ensemble***



Source: Di et al. (2019a, 2019b); Requia et al. (2020)

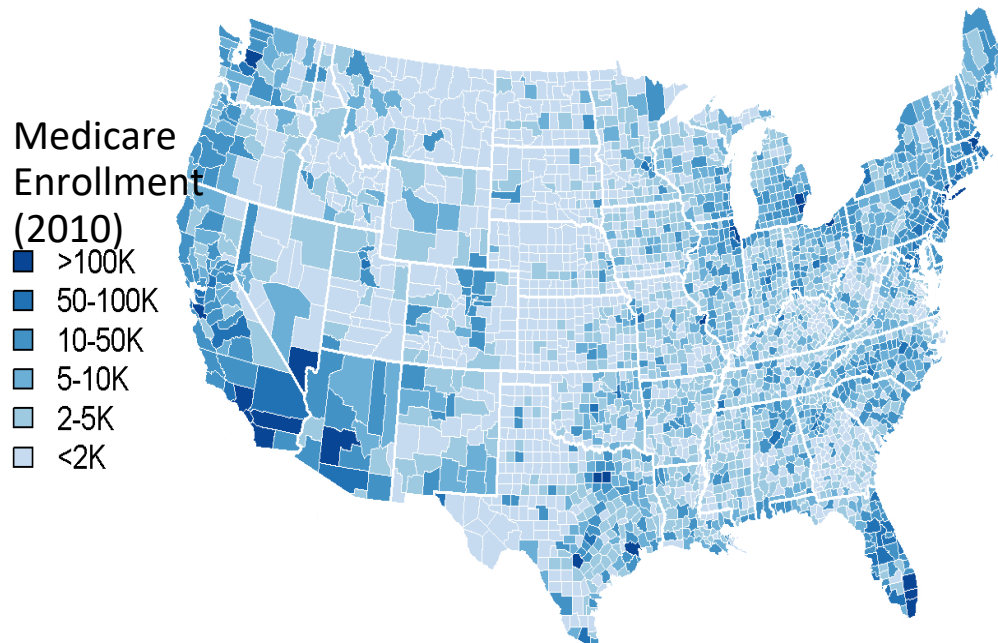
# Study population

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**Medicare population aged 65+ who were always (2000-2018) enrolled in**

- (1) Medicare fee-for-service (FFS) program
- (2) Both Part A (hospital insurance) and Part B (medical insurance)
- (3) 5-year “clean” period (dementia free)

**To really capture the 1<sup>st</sup> diagnosis of ADRD, and better approximate incidence**



# Outcome assessment

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## Medicare Chronic Conditions Warehouse (CCW database):

Includes pre-defined indicators for all-cause dementia and Alzheimer's disease (AD); based on *Medicare inpatient and outpatient claims, Carrier claims (primarily doctor visits), skilled nursing facility, and home health-care claims*

### 1<sup>st</sup> cohort (dementia)

Followed until first diagnosis of **dementia**, or death, or end of study.

2 million dementia cases  
out of 12.2 million subjects

### 2<sup>nd</sup> cohort (AD)

Followed until first diagnosis of **AD**, or death, or end of study.

0.8 million AD cases  
out of 12.5 million subjects

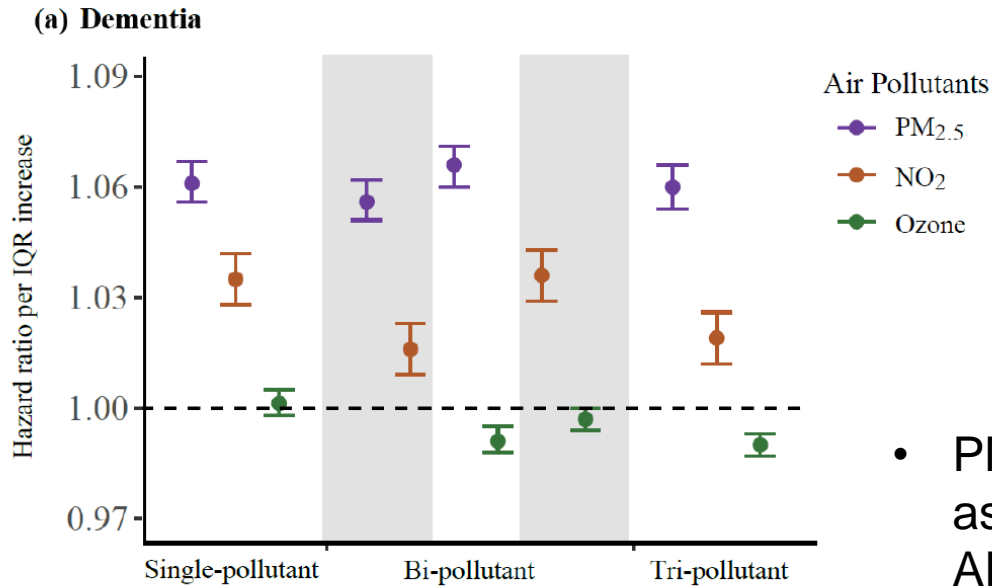
## Cox proportional hazard model (survival analysis)

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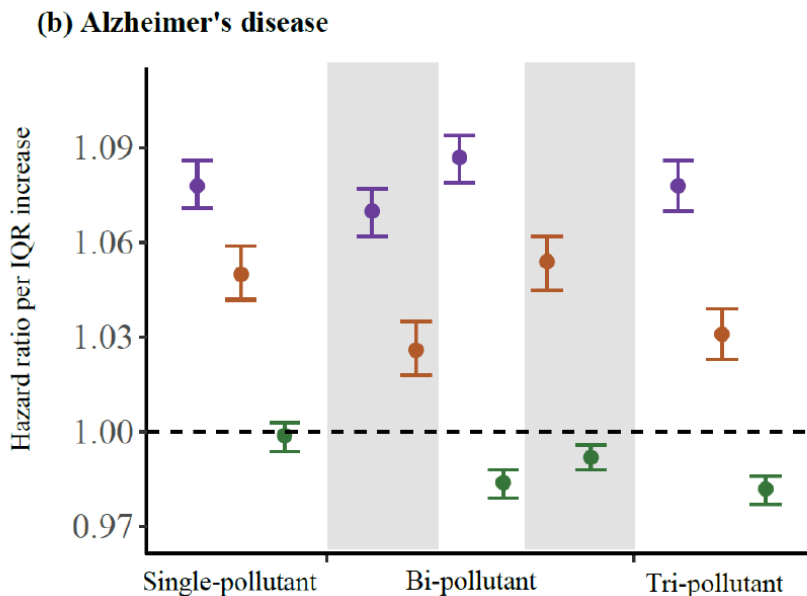
- Fit single-, bi-, and tri-pollutant Cox proportional hazard models:
  - used GEE (generalized estimating equation ) to account for spatial dependence
- Exposure to air pollution included as a time-varying variable:
  - For each year of follow-up, we estimated a **5-year moving average** of the past exposure to each pollutant (annual PM<sub>2.5</sub>, annual NO<sub>2</sub>, and warm-season O<sub>3</sub>) for each participant.
- Baseline hazard: stratify on individual characteristics (sex, race, Medicaid eligibility, and age at study entry)
- Neighborhood-level SES (% Hispanic, % Black, median household income, median home value, % below poverty level, % owner-occupied housing, % of not graduating high school)
- Behavioural risk factors (BMI, smoking)
- Healthcare capacity (# of hospitals, MD))
- Residual confounding by spatial/temporal trends (region, calendar years)



# PM<sub>2.5</sub> and NO<sub>2</sub> are associated with incident dementia and AD



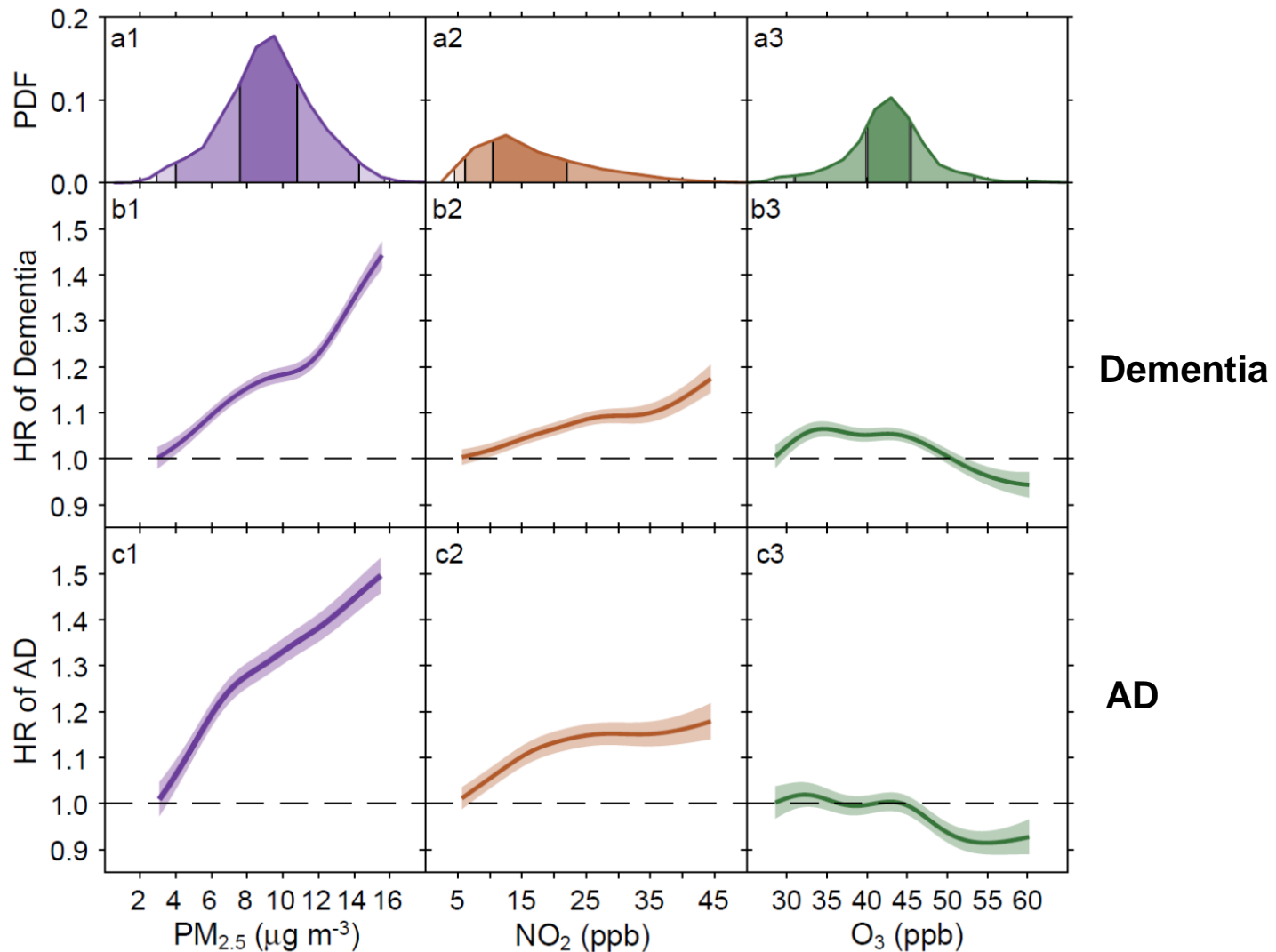
- PM<sub>2.5</sub> and NO<sub>2</sub> were significantly associated with incident dementia and AD.



- While hazard ratios for warm-season O<sub>3</sub> were not elevated

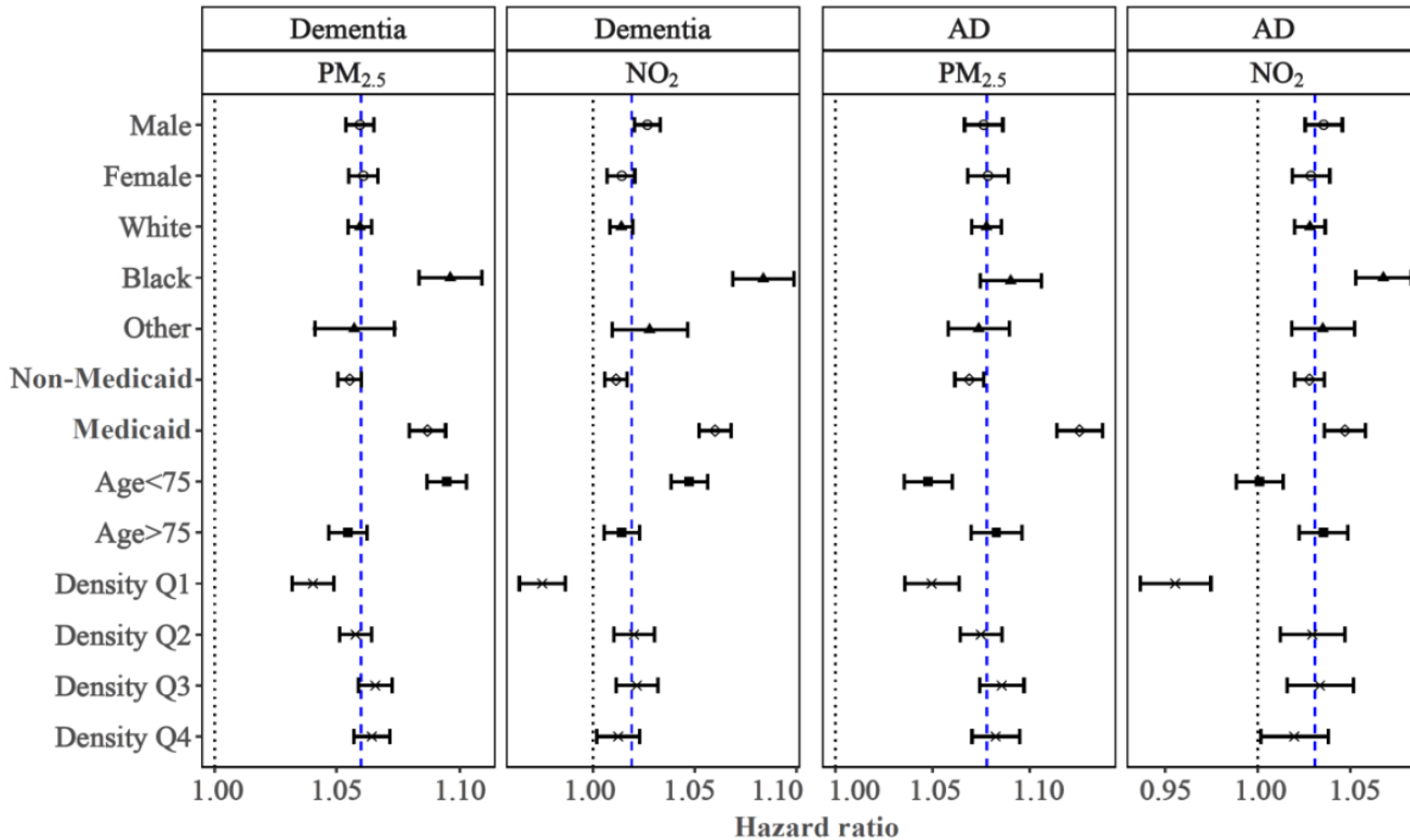
*Shi et al., Nature Communications (2021)*

# Concentration-response (C-R) relationships



*Shi et al., Nature Communications (2021)*

# Black, lower SES, urban residents are at higher risks



**Black, Medicaid-eligible (i.e. lower SES), urban residents are particularly vulnerable**

*Shi et al., Nature Communications (2021)*

# Sensitivity analysis

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- 10-year “clean” period (dementia free)
- Alternative exposure time window (lags 10, 5, 1, or 0)
  - *Shorter time windows between exposure and disease showed higher effect estimates - implying an acceleration of an existing process (i.e., accelerating cognitive decline which was already well developed).*
- Adjusting for comorbidities (diabetes, hypertension, stroke, and heart failure)
- Assessing the effect of possible outcome misclassification (assuming non-differential)
  - *Suggest that misclassification has somewhat biased our findings to the null*
    - *Fit linear regression models for the rate of dementia or AD (events/person-time)*
    - *Used sensitivity and specificity for the outcome classification from Taylor et al (2009), and adjusted the observed case counts for each zip code in the stratified Poisson model*





ARTICLE



<https://doi.org/10.1038/s41467-021-27049-2>

OPEN

# A national cohort study (2000–2018) of long-term air pollution exposure and incident dementia in older adults in the United States

Lihua Shi <sup>1,9</sup>✉, Kyle Steenland<sup>1,9</sup>, Haomin Li<sup>2</sup>, Pengfei Liu<sup>3</sup>, Yuhan Zhang<sup>2</sup>, Robert H. Lyles<sup>4</sup>, Weeberb J. Requia <sup>5</sup>, Sindana D. Ilango<sup>6</sup>, Howard H. Chang<sup>1,4</sup>, Thomas Wingo <sup>7</sup>, Rodney J. Weber<sup>3</sup> & Joel Schwartz <sup>8</sup>



*Dr. Kyle Steenland*



## Identified more AD/dementia cases vs Inpatient claims only

# Long-term effects of PM<sub>2.5</sub> on neurological disorders in the American Medicare population: a longitudinal cohort study

*Lihua Shi\*, Xiao Wu\*, Mahdiah Danesh Yazdi, Danielle Braun, Yara Abu Awad, Yaguang Wei, Pengfei Liu, Qian Di, Yun Wang, Joel Schwartz, Francesca Dominici, Marianthi-Anna Kioumourtzoglou†, Antonella Zanobetti†*

	AD	Dementia
Current study using Medicare CCW database (2000-2016)		
Number of admissions	5,646,187	11,121,272
Total person-years	403,149,214	379,921,997
Previous study* using Medicare inpatient claims (2000-2016)		
Number of admissions	2,490,431	1,233,132
Total person-years	475,820,277	478,636,053

**To allow for a fair comparison, we used the same inclusion/exclusion criteria and restricted to the same time period and geographic region:**

**Dementia 11 million vs 1 million**  
**AD 5.6 million vs 2.5 million**

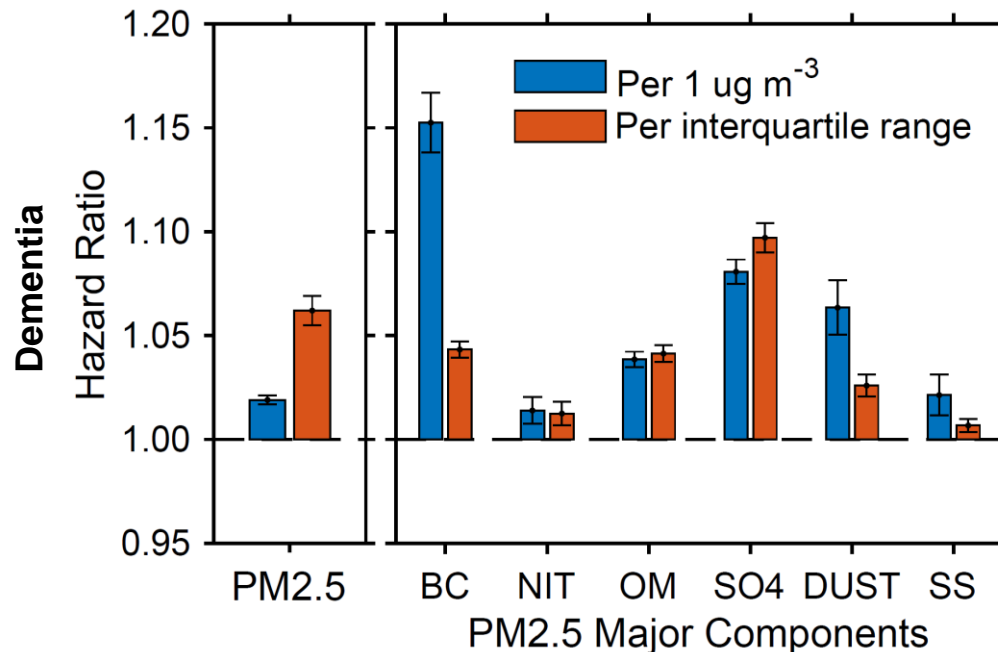
*Hospitalization records only, would miss a lot of dementia cases!*

## Next move

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- ❑ Whether there is a causal link between  $PM_{2.5}$ ,  $NO_2$ , and AD/dementia?
- ❑ Which  $PM_{2.5}$  components are critical for brain health? Important for policy making.

### *Preliminary results*



# Questions?

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